



DEPARTMENT OF CANNABIS CONTROL COMPLIANCE

INSPECTION FORM

BUSINESS/DBA NAME:		CCL #(s):	
DATE OF ISSUANCE:		ISSUED BY:	

Inspection Status: *Check all that apply	Initial Inspection	In Compliance	Pending Further Review
	Re-Inspection	Notice of Non-Compliance	

IF VIOLATIONS WERE FOUND, INDICATE THE AREA OF NON-COMPLIANCE BELOW:

Item	BPC/CCR	Violation Description	Item	BPC/CCR	Violation Description
1	BPC 26053(a)	Licensee engaged in commercial cannabis activity with an unlicensed person.	16	CCR 16202(f)	Licensee sublet a portion of the licensed premises.
2	BPC 26055(b)	Licensee continued to operate after revocation of state license.	17	CCR 16202(g)	Licensee used light deprivation at a licensed outdoor cultivation site.
3	BPC 26060.1(a)	Licensee used a water source that was not identified or permitted on his or her application.	18	CCR 16204(a)	Failure to notify the department in writing within ten (10) calendar days of any changes to any item listed in the application or any of the events pursuant to section 16204(a)(1)-(5) of this chapter.
4	CCR 16100(b)	After January 1, 2018, licensee engaged in commercial cannabis activity prior to obtaining a temporary license.	19	CCR 16204(b)	Failure to submit a new application for a change in business entity type that includes any change of ownership.
5	CCR 16106(a)(1)(B)	Licensee shared area(s) outside the canopy where only immature plants shall be maintained, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	20	CCR 16204(c)(1)	Failure to notify the department in writing of a penalty or judgment of a criminal conviction or civil judgment rendered against the licensee or any owner within forty-eight (48) hours of receiving a penalty or judgement of a criminal penalty or civil judgement.
6	CCR 16106(a)(1)(D)	Licensee shared processing area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	21	CCR 16204(c)(2)	Failure to notify the department in writing of a revocation of a local license, permit, or other authorization within forty-eight (48) hours of the revocation.
7	CCR 16106(a)(1)(E)	Licensee shared packaging area(s), as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	22	CCR 16204(c)(3)	Failure to notify the department in writing within 48 hours of receiving an administrative order for violations of labor standards against the licensee or any owner in his or her individual capacity.
8	CCR 16106(a)(1)(I)	Licensee shared area(s) for physically segregating cannabis or nonmanufactured cannabis products subject to administrative hold pursuant to section 16604 of this chapter, as designated on the licensee's premises diagram, with another cultivation license held by the licensee.	23	CCR 16204(c)(4)	Failure to notify the department in writing within 48 hours of a change in the licensee's designated track-and-trace system account manager.
9	CCR 16108	Failure to dispose of cannabis waste as identified in the licensee's approved waste management plan.	24	CCR 16205 first paragraph	Licensee made physical modifications to the licensed premises that materially or substantially altered the licensed premises or use of the licensed premises from the premises diagram originally filed with the license application without receiving prior written approval from the department.
10	CCR 16108	Failure to deposit cannabis waste at a manned, fully permitted solid waste landfill or transformation facility; manned, fully permitted composting facility or manned composting operation; manned, fully permitted in-vessel digestion facility; manned, fully permitted in-vessel digestion operation; or manned, fully permitted chip and grind operation or facility.	25	CCR 16205(b)	Failure to file a request for approval of a premises modification with the department associated with a physical modification of the licensed premises.
11	CCR 16201	Licensee total canopy size on licensed premises exceeded the total allowable canopy size for the license type.	26	CCR 16205(c)	Failure to provide additional documentation requested by the department to evaluate the request for approval of a premises modification.
12	CCR 16202(b)	Failure to obtain a separate license for each premises where the person engaged in commercial cannabis cultivation.	27	CCR 16206(a)	Failure of an owner's successor in interest as specified in section 16206(a) to notify the department within ten (10) calendar days of the death, incapacity, receivership, assignment for the benefit of creditors of an owner, or other event rendering a licensee incapable of performing the duties associated with the license.
13	CCR 16202(c)	Licensee transferred or assigned his or her cultivation license to another person or property.	28	CCR 16207(h)(1)	Failure to move cannabis and nonmanufactured cannabis products to a secure location where access to the cannabis is restricted to the licensee, its employees, and contractors.
14	CCR 16202(d)	Licensee transferred cannabis and nonmanufactured cannabis products from his or her licensed premises to another licensee without using a licensed distributor.	29	CCR 16207(h)(2)	Failure to notify the department, in writing, within twenty-four (24) hours of moving cannabis and nonmanufactured cannabis products and requesting relief pursuant to section 16207 of this chapter.
15	CCR 16202(e)	Failure to prominently display license on licensed premises where it can be viewed by state and local agencies.	30	CCR 16207(h)(3)	Failure to provide the department access to the location where cannabis and nonmanufactured cannabis products were moved pursuant to section 16207 of this chapter.

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31	CCR 16207(h)(4)	Failure to submit, in writing, a request for temporary relief that clearly indicates the statutory and regulatory sections from which relief is being requested, the time period for which the relief is requested, and the reason relief is needed, within ten (10) calendar days of moving cannabis and nonmanufactured cannabis products pursuant to section 16207 of this chapter.	53	CCR 16304(a)-(g)	Failure to comply with specified general environmental protection measures.
32	CCR 16210	Failure to allow the bureau to collect samples for the bureau's own laboratory analysis from cannabis transferred to a licensed distributor.	54	CCR 16305(a) & (b)	Failure to comply with specified renewable energy requirements.
33	CCR 16211	Licensee accepted returns of cannabis plants or nonmanufactured products transferred to another licensee after testing performed pursuant to section 26110 of the Business and Professions Code.	55	CCR 16306(a)-(d)	Failure to comply with specified generator requirements.
34	CCR 16212	Failure to comply with packaging requirements.	56	CCR 16307(a) & (b)	Failure to comply with specified pesticide use requirements.
35	CCR 16212	Failure to comply with labeling requirements.	57	CCR 16308(d)	Failure to dispose of cannabis waste in a secure waste receptacle or in a secured area on the licensed premises.
36	CCR 16213(a)	Failure to use weighing devices approved, tested, and sealed pursuant to chapter 5 (commencing with section 12500) of division 5 of the Business and Professions Code, and registered with the county sealer pursuant to chapter 2 (commencing with section 12240) of division 5 of the Business and Professions Code.	58	CCR 16308(g)(1)(A-D)	Failure to obtain and retain required information from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency that collects and processes the licensee's cannabis waste.
37	CCR 16213(e)	Failure to become licensed as a weighmaster for determining any weight or measurement of cannabis and nonmanufactured cannabis products in accordance with 16213(e) of this chapter.	59	CCR 16308(g)(2)	Failure to obtain and retain a copy of a receipt from the local agency, waste hauler franchised or contracted by the local agency, or private waste hauler permitted by the local agency evidencing subscription to a waste collection service.
38	CCR 16213(e)	Failure to issue weighmaster certificate pursuant to chapter 7 (commencing with section 12700) of division 5 of the Business and Profession Code.	60	CCR 16308(h)	Failure to obtain and retain, for each delivery of cannabis waste by the licensee, a copy of a certified weight ticket, or receipt documenting delivery, prepared by a representative(s) of a solid waste facility receiving self-hauled cannabis waste.
39	CCR 16300(a)	Failure to prohibit cannabis plants maintained outside the designated canopy area from flowering.	61	CCR 16308(i)	Failure to use track-and-trace system and documentation required pursuant to sections 16405 and 16406 of this chapter to ensure the cannabis waste materials are identified, weighed, and tracked while on the licensed premises and when disposed of pursuant to subsections (f), (g), (h), and (i) of section 16308.
40	CCR 16300(a)	Failure to move flowering cannabis plants located outside the designated canopy area(s) to the designated canopy area(s) without delay and report the movement and UID tagging in the track-and-trace system.	62	CCR 16401	Failure to prepare a sales invoice or receipt for every sale or transport of cannabis or nonmanufactured cannabis product to another licensee.
41	CCR 16300(b)	Failure to properly apply UIDs to cannabis plants used for seed production pursuant to section 16403 of this chapter.	63	CCR 16402(a)	Failure to accurately and completely enter data and information into the track-and-trace system.
42	CCR 16300(c)	Licensee propagating immature plants for distribution or seed for distribution without a nursery license.	63	CCR 16402(c)	Failure to identify an owner in the licensee's organization to be the licensee's track-and-trace system account manager.
43	CCR 16300(d)	Licensee processed cannabis on the licensed premises in an area(s) not designated for processing as identified on his or her approved cultivation plan.	64	CCR 16402(c)(1)	Failure of the licensee's designated track-and-trace system account manager to complete track-and-trace system training as required by the department.
44	CCR 16300(d)	Processing cannabis on licensee's premises without compliance to packaging or labeling requirements pursuant to sect 16212 of this chapter.	65	CCR 16402(c)(2)	Failure to properly train all track-and-trace system users before the users were permitted to access the track-and-trace system.
45	CCR 16301	Failure to properly apply UIDs to mature cannabis plants used for seed production pursuant to section 16403 of this chapter.	66	CCR 16402(c)(3)	Failure to maintain an accurate and complete list of all track-and-trace system users and to update the list immediately when changes occurred.
46	CCR 16301	Licensee allowed cannabis products to enter the commercial distribution chain	67	CCR 16402(c)(4)	Failure to cancel a track-and-trace system user account within three (3) calendar days when that individual is no longer a representative of the licensee.
47	CCR 16302	Licensee conducted research and development in areas that were not identified in his or her approved cultivation plan.	68	CCR 16402(c)(5)	Failure to correct data entered into the track-and-trace system in error within three (3) calendar days of discovery of the error.
48	CCR 16302	Failure to properly tag with a UID mature plants maintained in the area on the licensed premises designated for research and development.	69	CCR 16402(c)(6)	Failure to notify the department immediately for any loss of access that exceeds three (3) calendar days.
49	CCR 16302	Licensee allowed cannabis and nonmanufactured cannabis products from the research and development area to enter the commercial distribution chain.	70	CCR 16402(e)	Failure to prepare and maintain comprehensive records detailing all tracking inventory activities which occurred during a loss of access to the track-and-trace system for any reason.
50	CCR 16303(a)	Failure to adhere to track-and-trace requirements for aggregation of cannabis products pursuant to sections 16405 and 16406 of this chapter.	71	CCR 16402(e)(1)	Failure to enter all inventory tracking activities that occurred during a loss of access to the track-and-trace system within three (3) calendar days of the loss of access.
51	CCR 16303(b)	Failure to adhere to product packaging and labeling requirements, pursuant to section 16212 of this chapter, for nonmanufactured cannabis products.	72	CCR 16402(e)(2)	Failure to document the date and time when licensee lost access to the track- and-trace system, the cause of the loss, and when access was restored.
52	CCR 16303(c)	Processor licensee cultivated cannabis plants on his or her licensed premises.	73	CCR 16402(e)(3)	Licensee transferred cannabis or nonmanufactured products to a distributor without having access to the track-and-trace system.

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74	CCR 16403(a)	Failure of the licensee's designated account manager to request UIDs as prescribed by the department pursuant to Article 5 of this chapter.	95	CCR 16405(a)	Failure to report through the track-and- trace system any and all transfers of cannabis or nonmanufactured cannabis products to another licensee prior to movement of the cannabis or nonmanufactured cannabis products off the licensed premises.
75	CCR 16403(a)(1)	Failure to use only UIDs provisioned and distributed by the department or the department's designee.	96	CCR 16405(b)	Failure to report through the track-and- trace system any and all receipt or rejection of cannabis or nonmanufactured cannabis products received or rejected by the licensee on his or her licensed premises from another licensee within twenty-four (24) hours of receipt or rejection of the products.
76	CCR 16403(a)(2)	Failure to maintain a sufficient supply of UIDs to support tagging requirements.	97	CCR 16405(c)(1)-(5)	Failure to report in the track-and-trace system information related to the disposition of cannabis and nonmanufactured cannabis products on the licensed premises within three (3) calendar days of the change in disposition.
77	CCR 16403(a)(3)	Failure to use track-and-trace system to document receipt of provisioned and distributed UIDs within three (3) calendar days of physical receipt of the UIDs by the licensee.	98	CCR 16405(d)(1)-(9)	Licensee failed to record all required information for each transfer of cannabis or nonmanufactured cannabis products to, or cannabis or nonmanufactured cannabis products received from, other licensed premises.
78	CCR 16403(b)(1)	Failure to properly assign a UID to each lot of immature plants.	99	CCR 16405(f)	Annual licensee failed to report all commercial cannabis activity the annual licensee conducted with temporary licensees in the track-and- trace system pursuant to section 16401 of this chapter.
79	CCR 16403(b)(1)	Licensee had more than one hundred (100) immature plants in a lot.	100	CCR 16406(a)	Failure to reconcile all on-premises and in-transit cannabis or nonmanufactured cannabis product inventories at least once every thirty (30) calendar days.
80	CCR 16403(b)(1)	Failure to keep immature plants contiguous to one another to facilitate identification of the immature lot by the department.	101	CCR 16406(b)	Failure to record the net weight of all harvested cannabis once all drying and curing activities have been completed, or within sixty (60) calendar days from the initial harvest date, whichever is sooner.
81	CCR 16403(b)(1)	Failure to label each plant in an immature lot with a label containing the UID number assigned to the immature lot by the licensee.	102	CCR 16406(c) & (d)	Failure to close out physical inventory of all cannabis, nonmanufactured cannabis products, and UIDs in the track-and-trace system.
82	CCR 16403(b)(2)	Licensee failed to properly apply UID to immature plants transported from a licensed nursery, via a licensed distributor, to a licensed cultivation site.	103	CCR 16406(e)	Failure to record all transfers and sales pursuant to section 8401 and 8405 of this chapter.
83	CCR 16403(b)(2)	Licensee failed to properly apply UID to immature plants intended for retail sale.	104	CCR 16407(a)	Within 30 calendar days of receipt of UIDs ordered pursuant to section 16403 licensee failed to assign and apply UIDs to each existing immature lot, individual mature plant, and all nonmanufactured cannabis products physically located on the licensed premises on the date of license issuance. (This section shall remain in effect until July 1, 2019.)
84	CCR 16403(b)(3)	Failure to apply UID to all individual plants at the time the plants were moved to the designated canopy area identified in the licensee's approved cultivation plan or when individual plants began flowering, as defined in section 16000(l) of this chapter.	105	CCR 16407(b)	Within 30 calendar days of receipt of UIDs ordered pursuant to section 16403 licensee failed to enter in the track-and- trace system the information associated with each existing immature lot, individual mature plant, and nonmanufactured cannabis products physically located on the licensed premises. (This section shall remain in effect until July 1, 2019.)
85	CCR 16403(b)(3)	Licensee applied UID to an individual plant that was not associated with the UID for the lot of immature plants from which it was derived.	106	CCR 16409	Failure to notify the department and law enforcement authorities within three (3) calendar days of discovery of any diversion, theft, loss of, or criminal activity related to licensee's cannabis or nonmanufactured cannabis products.
86	CCR 16403(b)(4)	Licensee failed to properly place and maintain the required UID on each mature plant.	107	CCR 16501(c)(1)	Applicant, licensee, its agent, or employees denied the department access to the licensed premises.
87	CCR 16403(b)(4)	Licensee removed UID from the mature plant to which it was attached and assigned prior to the plant being harvested, destroyed, or disposed.	108	CCR 16501(c)(2)	Licensee provided false or misleading statements.
88	CCR 16403(c)	Failure to assign a unique harvest batch name that is associated with all UIDs for each individual plant, or portion thereof, contained in the harvest batch.	109	CCR 16501(c)(3)	Licensee provided false, falsified, fraudulent, or misleading documents and records.
89	CCR 16403(d)	Failure to assign a UID to cannabis and nonmanufactured cannabis products corresponding to the unique harvest batch name from which the cannabis and nonmanufactured cannabis products were derived.	110	CCR 16501(c)(4)	Failure to provide records, reports, and other supporting documents.
90	CCR 16403(e)	Failure to retire UIDs in the track-and- trace system associated with the destruction or disposal of cannabis or nonmanufactured cannabis products within three (3) calendar days of the destruction or disposal.	111	CCR 16604(d)(3)	Failure to physically segregate all designated cannabis or nonmanufactured cannabis products subject to hold within twenty-four (24) hours of receipt of the notice of administrative hold.
91	CCR 16404(b)	Failure to obtain a unique track-and- trace system user log-on for each user entering information into the track-and-trace system.	112	CCR 16604(d)(4)	Licensee sold, donated, transferred, transported, or destroyed cannabis or nonmanufactured cannabis products subject to hold.
92	CCR 16404(b)	Licensee, designated account manager, or track-and-trace system user used or shared his or her unique log-on with another person.	113	CCR 16604(d)(5)	Failure to put all cannabis and nonmanufactured cannabis products on hold into separate batches.
93	CCR 16404(c)	Licensee, account manager, user, employee, or agent misrepresented or falsified data and information entered into the track-and-trace system.	114	CCR 16604(d)(6)	Failure to identify in the track-and-trace system cannabis or nonmanufactured cannabis products subject to an administrative hold which were voluntarily surrendered by the licensee.
94	CCR 16404(d)	Failure to monitor notifications and/or resolve issues included in the notification in the time frame specified in the notification.			

Item	BPC/CCR	Violation Description	Item	BPC/CCR	Violation Description
115	CCR 16308(k)	Failure to maintain accurate and comprehensive records regarding cannabis waste material that account for, reconcile, and evidence all activity related to the generation or disposition of cannabis waste.	124	CCR 16400(d)(5)	Failure to retain UIDs for six (6) months after the date the tags were retired.
116	CCR 16400(a)	Failure to keep and maintain records listed in 16400(d) for at least seven (7) years from the date the document was created.	125	CCR 16400(d)(6)	Failure to maintain on the licensed premises all financial records related to the licensed commercial cannabis activity, including but not limited to, bank statements, tax records, contracts, purchase orders, sales invoices, and sales receipts.
117	CCR 16400(b)	Failure to provide or deliver required records, upon request.	126	CCR 16400(d)(7)	Failure to maintain on the licensed premises personnel records, including each employee's full name, social security number or individual tax payer identification number, date of employment, and, if applicable, date of termination of employment.
118	CCR 16400(c)	Failure to provide the department with ability to review all records subject to review by the department during standard business hours or at any other reasonable time as mutually agreed to by the department and the licensee.	127	CCR 16400(d)(8)	Failure to maintain on the licensed premises records related to employee training for the track-and-trace system or other requirements of this chapter. Records shall include, but are not limited to, the date(s) training occurred, description of the training provided, and the names of the employees that received the training.
119	CCR 16400(d)(1)	Failure to maintain on the licensed premises the department issued cultivation license.	128	CCR 16400(d)(9)	Failure to maintain on the licensed premises contracts with other state licensed cannabis businesses.
120	CCR 16400(d)(2)	Failure to maintain on the licensed premises the licensee's cultivation plan.	129	CCR 16400(d)(10)	Failure to maintain on the licensed premises all permits, licenses, and other authorizations to conduct the licensee's commercial cannabis activity.
121	CCR 16400(d)(3)	Failure to maintain on the licensed premises all records evidencing compliance with environmental protection measures pursuant to sections 16304, 16305, 16306 and 16307.	130	CCR 16400(d)(11)	Failure to maintain on the licensed premises records associated with composting or disposal of cannabis waste.
122	CCR 16400(d)(4)	Failure to maintain on the licensed premises all supporting documentation for data or information entered into the track-and-trace system.	131	CCR 16400(d)(12)	Failure to maintain on the licensed premises documentation associated with loss of access to the track-and-trace system prepared pursuant to section 16402(d) of this chapter.
123	CCR 16400(d)(5)	Failure to maintain on the licensed premises all UIDs assigned to product in inventory and all unassigned UIDs.			

The violations noted above may not include all violations. Compliance and enforcement may identify additional violations during this audit and will notify you of such at the completion of their review. Additional records may be requested for further examination. To avoid the possible assessment of penalties and/or license suspension, you will need to remedy the violations identified on this form by the assigned date(s).

If you have any questions, please contact:

Include your license number on any correspondence

COMPLIANCE DUE DATE(S)/REMEDY INSTRUCTIONS:

_____ PRINTED NAME & TITLE OF RECIPIENT	_____ SIGNATURE OF RECIPIENT	_____ DATE
_____ PRINTED NAME & TITLE OF ISSUING INVESTIGATOR	_____ SIGNATURE OF ISSUING INVESTIGATOR	_____ DATE

AGENCY USE ONLY - REFERRALS

CDFW	State Water Board	Environmental Science
CDTFA	Law Enforcement	
Other:		